

2011-J957

LEGISLATIVE RESOLUTION honoring Mark C. Healy upon the occasion of his designation by HeartShare Human Services of New York as the recipient of its 2011 Monsignor Thomas G. Hagerty Humanitarian Award

WHEREAS, It is the custom of this Legislative Body to acknowledge outstanding individuals whose professional lives, personal endeavors, and community service are an example and inspiration to their colleagues, friends, and family; and

WHEREAS, Attendant to such concern, and in full accord with its long-standing traditions, this Legislative Body is justly proud to honor Mark C. Healy upon the occasion of his designation by HeartShare Human Services of New York as the recipient of its 2011 Monsignor Thomas G. Hagerty Humanitarian Award; and

WHEREAS, Mark C. Healy, President and Chief Executive Officer of the American Stock Transfer & Trust Company, LLC, will be honored at the organization's Spring Gala and Auction, to be held on Thursday, March 24, 2011, at the New York Marriott Marquis; and

WHEREAS, HeartShare Human Services of New York is a nonprofit human services agency dedicated to improving the lives of people in need of special services and support, and to helping individuals develop to their fullest potential and lead meaningful and enriched lives; and

WHEREAS, Founded in 1914, HeartShare Human Services of New York promotes self-sufficiency and responsibility through its programs and services to more than 25,000 children, adults, families, and the developmentally disabled throughout New York City annually; each year, at its Spring Gala and Auction, it proudly recognizes the achievements of those who exemplify its ideals and help to further its mission; and

WHEREAS, In addition to serving as President and Chief Executive Officer of the American Stock Transfer & Trust Company, LLC (AST), Mark C.

Healy is a valued member of the Board of Directors of the Stock Transfer Association; and

WHEREAS, Prior to joining AST in 2009, he was Executive Vice President for National Financial Services, a Fidelity Investments company, for more than seven years, where he was responsible for all of National Financial's sales and relationship teams covering over 325 broker dealer clients nationwide, custody of almost \$700B in assets and execution of over one million daily trades; his previous role at National Financial was as Chief Operating Officer and Chief Financial Officer, during which time he oversaw significant growth, acquisitions and product development for the firm; and

WHEREAS, Mark C. Healy has over 28 years of experience in the financial services sector and is well-known and highly regarded in the clearing industry as a champion of technology solutions that drive growth and manage risk; and

WHEREAS, Mark C. Healy, in addition, also previously served as COO and CFO at TD Securities, CFO of Swiss Bank Corp, and Controller and Operations head at Sanwa Bank Securities; and

WHEREAS, Throughout the entire period of his professional and community service, a period of constructive involvement, Mark C. Healy has stood constant in dignity, good grace and humor, and has earned the respect and admiration of his colleagues, family and friends; and

WHEREAS, Rare indeed is the impressive dedication shown by an individual for the benefit of others which Mark C. Healy has displayed throughout his life; now, therefore, be it

RESOLVED, That this Legislative Body pause in its deliberations to honor Mark C. Healy upon the occasion of his designation by HeartShare

Human Services of New York as the recipient of its 2011 Monsignor Thomas G. Hagerty Humanitarian Award on March 24, 2011; and be it further

RESOLVED, That a copy of this Resolution, suitably engrossed, be transmitted to Mark C. Healy.